

09/720537

528 Rec'd PCT/PTO 22 DEC 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re International Application of

International Serial No. PCT/JP00/02671
International filing date: 24 April 2000
For: Method and Apparatus for Controlling Reserved Recording
of Television Broadcast Program and Recording Medium Having a
Program Recorded Thereon

VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Kouchi Takasaki, a member of A.KOIKE & CO., of 11-Mori
Bldg., 6-4, Toranomom 2-chome, Minato-ku, Tokyo 105-0001 Japan,
declares:

(1) that he knows well both the Japanese and English
languages;

(2) that he translated the above-identified International
Application from Japanese to English;

(3) that the attached English translation is a true and
correct translation of the above-identified International
application to the best of his knowledge and belief; and

(4) that all statements made of his own knowledge are
true and that all statements made on information and belief are
believed to be true, and further that these statements are made
with the knowledge that willful false statements and the like are
punishable by fine or imprisonment, or both, under 18USC 1001,
and that such false statements may jeopardize the validity of the
application or any patent issuing thereon.

6th December 2000
Date

Kouchi Takasaki
Kouchi Takasaki

FORM PTO-1390 (Modified)
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/720531

INTERNATIONAL APPLICATION NO.
PCT/JP00/02671INTERNATIONAL FILING DATE
24 April 2000 (24.04.00)PRIORITY DATE CLAIMED
26 April 1999 (26.04.99)

TITLE OF INVENTION

METHOD AND APPARATUS FOR CONTROLLING RESERVED RECORDING OF TELEVISION BROADCAST PROGRAM AND RECORDING MEDIUM HAVING A PROGRAM RECORDED THEREON

APPLICANT(S) FOR DO/EO/US

EGUCHI, Tatsuo; TERASHITA, Yasuhiko; NOMURA, Yasuo; MIYAJIMA, Yasushi; WATANABE, Yoshikazu; YAMAGUCHI, Nobuaki; SASAKI, Kumiko; NIJIMA, Makoto; SUZUKI, Ai; FUJII, Shinya; and TANI, Yusuke

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)). w/Verification of Translation.
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (unsigned)
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. w/Form 1449 and prior art references.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

- a.) Twenty-eight (28) sheets of formal drawings.
- b.) Copies of Forms PCT/IB/301, PCT/IB/304, and PCT/IB/308.
- c.) Copy of front page of International Application as published under No. WO 00/65828 on November 2, 2000.
- d.) Copy of PCT EASY Request.

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| | | | | | |
|---|---------------------|--|--|---|-----------|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 09/72053 | | INTERNATIONAL APPLICATION NO. PCT/JP00/02671 | | ATTORNEY'S DOCKET NUMBER KOIK-T0478 | |
| 21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | CALCULATIONS PTO USE ONLY | |
| | | | | \$860.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | | | | \$0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 14 - 20 = | 0 | x \$18.00 | \$0.00 | |
| Independent claims | 11 - 3 = | 8 | x \$80.00 | \$640.00 | |
| Multiple Dependent Claims (check if applicable). | | | <input type="checkbox"/> | \$0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1,500.00 | |
| Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). | | | <input type="checkbox"/> | \$0.00 | |
| SUBTOTAL = | | | | \$1,500.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | + | \$0.00 | |
| TOTAL NATIONAL FEE = | | | | \$1,500.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | | | <input type="checkbox"/> | \$0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$1,500.00 | |
| | | | | Amount to be: refunded | \$ |
| | | | | charged | \$ |
| <input checked="" type="checkbox"/> A check in the amount of \$1,500.00 to cover the above fees is enclosed. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 12-1420 A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| Charles P. Sammut, Esq. LIMBACH & LIMBACH L.L.P. 2001 Ferry Building San Francisco, California 94111-4207 United States of America Telephone: (415) 433-4150 Facsimile: (415) 433-8716 | | |  SIGNATURE Mayumi Maeda NAME 40,075 REGISTRATION NUMBER December 21, 2000 DATE | | |

PATENT

PATENT COOPERATION TREATY
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

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|-----------------------------------|---|---------------------|
| In re U.S. Nat'l Phase Appln. of: |) | |
| |) | |
| TATSUO EGUCHI ET AL. |) | |
| |) | |
| Based Upon International |) | CERTIFICATION |
| Application No. PCT/JP00/02671 |) | UNDER |
| Int'l Filing Date: April 24, 2000 |) | <u>37 CFR §1.10</u> |
| |) | |
| Serial No. Not Assigned |) | |
| |) | |
| Filed: Herewith |) | |
| |) | |
| For: METHOD AND APPARATUS FOR |) | |
| CONTROLLING RESERVED RECORDING |) | |
| OF TELEVISION BROADCAST PROGRAM |) | |
| AND RECORDING MEDIUM HAVING A |) | |
| PROGRAM RECORDED THEREON |) | |

Attn: Designated/Elected Office (DO/EO/US)
Commissioner for Patents
Box PCT
Washington, D.C. 20231

Dear Sir:

I hereby certify that this Application for entering the national phase of the above-identified International Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, **December 21, 2000**, in an envelope bearing "Express Mail Post Office to Addressee" Mailing Label Number **EL776051810US** addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231-0001, Attention U.S. Designated/Elected Office (DO/EO/US).

Enclosed are:

1. Transmittal Letter to the U.S. Designated/Elected Office, in duplicate;
2. Verified translation of International Application No. PCT/JP00/02671 as filed April 24, 2000, including Specification, Claims and Abstract;
3. PCT EASY Request Form;
4. Combined Declaration/Power of Attorney/Claim of Priority (unsigned);

5. Twenty-eight (28) sheets of Formal Drawings comprising Figures 1 through 31;
6. Copies of Forms PCT/IB/301, PCT/IB/304, and PCT/IB/308;
7. Copy of International Search Report;
8. Information Disclosure Statement with Form 1449 and cited prior art references;
9. Copy of front cover page of International Application as published on November 2, 2000 as Publication No. WO00/65828;
10. Check in the amount of \$1,500.00; and
11. Acknowledgement Postcard.

Dated: December 21, 2000


Elizabeth A. Reicker

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2001 Ferry Building
San Francisco, California 94111
Telephone: (415) 433-4150

Attorney Docket No. KOIK-T0478